

# Why we need a Network of Usage Data Providers - OpenAIRE Impact Metrics Results

Jochen Schirrwagen

**Bielefeld University** 





- Workpackage on Impact Metrics
- **3** Usage Statistics Service
- PlosOpenR Use Case
- 5 Implementation Overview
- Usage Statistics Services Challenges

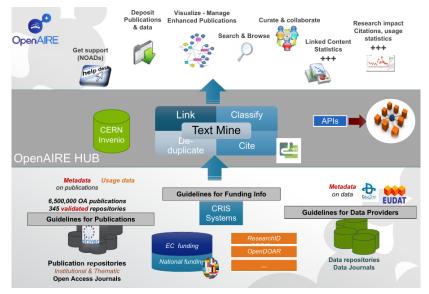


## Background

- Project period 12/2009 11/2012
- Making FP7 / ERC research output visible
- OA-pilot implementation (SC39)
- OpenAIREplus follow-up project (12/2011 05/2014)
  - Merge DRIVER & OpenAIRE : Knowledge Infrastructure
  - Extend scope on beyond FP7; national funders
  - enriched links: publications research data projects
- Current figures (04/2013)
  - FP7 projects / in SC39: 19.097 / 1448
  - bibliographic records on FP7 articles: > 41.000
  - Open Access ratio approx. 30%
  - registered repositories: 130
  - text-mining on FP7 acknowledgment and claiming of articles

# **OpenAIRE Knowledge Infrastructure**

### http://www.openaire.eu



- monitoring of FP7 SC39 research output
- definition of methodologies to measure impact
- calculating quantitative indicators
  - Bibliometrics
  - Webometrics
  - supplement with Usage Statistics

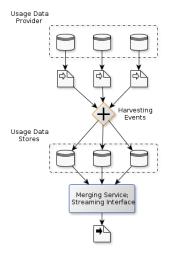
Group	Indicator	Source
Usage Stats	Views	Log files
	Downloads	Log files
Webometrics	URL mentions	Search engines
	Title (DOI) mentions	Search engines / Social Networks
	Readings	Readings in Mendeley
Bibliometrics	Citations	WoS, Scopus, Google Scholar

# Impact Metrics - Limitations and Challenges

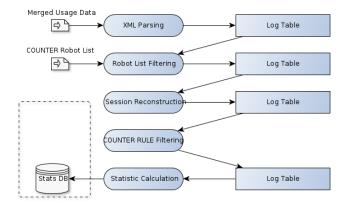
- limited by quantity of article corpus (FP7, SC39)
- limited by time window (FP7: 2007 2013) vs. citation window
- web analysis tools from commercial search engines disappeared
  - e.g. Yahoo SiteExplorer
- limited by no. of participating repositories registered in OpenAIRE
- need for article de-duplication / statistics consolidation
- only few articles minted with persistent identifiers

# Guidelines for Transfer Protocol and Format

- aligned with KE-USG
- Indicator Specification
- Usage Statistics Service
- Reporting to the EC
  - Methodology
  - Impact Metrics Results



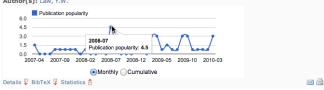
# Processing and Calculation



# Integration in OpenAIRE Portal

### Article Popularity

 $\Xi$  Key management and link-layer security of wireless sensor networks : Energy-efficient attack and defense Author(s): Law, Y.W.



### Repository Popularity



• thematic and institutional repositories were approached

- many repository managers interested but with hesitation
- ! effort needs to be calculated
- ! policy about the use of the usage data & statistics
- ! service sustainability vs. project & pilot character
- participating repositories: CERN-Physics, GoeScholar, OpenAIRE-Orphan, PUB repository, Twente repository, Utrecht repository

## using madIS framework

- usage data from Dutch repositories
- processed on Core2 Duo 3 Ghz, 4 GB workstation

Process	# Events after	elapsed Time	Rate
Download to local store	7,481,937	13 min	16.9 MB/sec
XML parsing	7,481,937	54 min 39 sec	4 MB/sec
Robot filtering	6,630,121	11 min 16 sec	
Session reconstr. (2,277,305)	6,630,121	20 min	
COUNTER rule filtering	4,510,527	5 min 30 sec	
Statistics calc.	4,510,527	5 min 41 sec	
total time		1 hour 50 min	

- Collaboration between PLOS-ALM and OpenAIRE
- Development of tools exploring PLOS-ALM on FP7 funded articles
- Experimentation with visualizations

# PlosOpenR Results (07/2012)

### **Data Sources**

- PLOS Search API; PLOS-ALM API
- OpenAIRE OAI on FP7 project information

### Method

- PLOS search on financial disclosure field
- Text-Mining, Normalisation, Filtering
- Aggregation of relative ALM data
- Data Exploration & Visualization

### Result

1166 PLOS papers acknowledging 624 FP7 projects

# Composite Indicator - Controversy

- What to consider if combining indicators of different metrics ?
- Issues
  - Does each metric cover the same corpus of articles ?
  - How to specify the optimal weighting of each indicator ?
  - indicator peaks in social networks vs. long term citation counts
- Example for Illustration
  - PLOS article "The Network of Global Corporate Control"
    - published: 26-Oct-2011, doi: 10.1371/journal.pone.0025995
    - reception of science in media

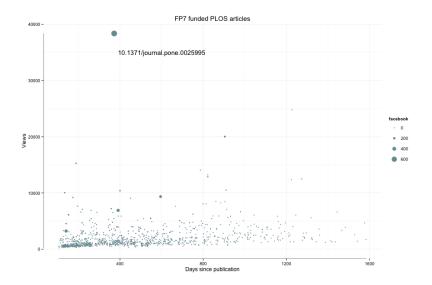
# Reception in the Forbes magazine

owns what and what their revenues are

#### WIN THE TRIP OF A LIFETIME! Click here.

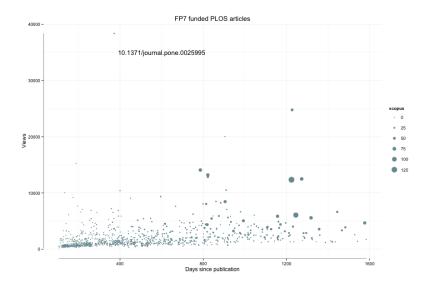


# Facebook Mentions (and in relation to other PLOS articles)



#### Workshop Usage Statistics and Beyond, Berlin, 22-23. 04. 2013

# Scopus Citation Counts (and in relation to other PLOS articles)



#### Workshop Usage Statistics and Beyond, Berlin, 22-23. 04. 2013

- Aggregation: OpenAIRE D-NET, (Java), http://www.d-net.research-infrastructures.eu/
- Usage Statistics: madIS (Python, using SQLite), http://code.google.com/p/madis/
- PlosOpenR: (R), https://github.com/articlemetrics/plosOpenR

- National Initiatives on Usage Statistics
  - IRUS-UK, SURFSure, OA-Statistics, LogEc
- OpenAIRE Usage Statistics
- Repository Networks, e.g. DiVA using AwStats
- Statistics Plugins for repository platforms

# Challenges on the Repository Level

### Main Barriers on the Repository Manager Level

- effort and support needed
- hesitation of repository managers
- lack of standardized, well accepted indicators

# Challenges on the Serviceprovider Level

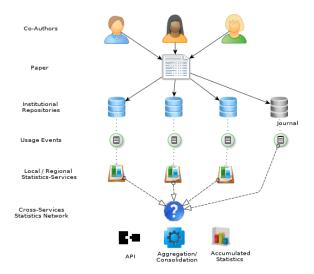
### **Organizational Barriers on the Serviceprovider Level**

- data exchange bi-directional with altmetrics services
- event-type considered: "download only" or "metadata view and download hit"
- comparing statistics results

### Technical Barriers on the Serviceprovider Level

- bottleneck OAI-PMH as not designed for large bulk data transfer
- different interpretation / use of ContextObjects describing Usage Events
- different level of filtering due to lack of shared and maintained robot list

# Scenario for a Usage Statistics Network



# \* Thank you for your attention

### Contact

⊠ jochen.schirrwagen@uni-bielefeld.de

http://www.openaire.eu

## Contributors to the Workpackage

- CSIC: I.F.Aguillo, S.P.Álvarez
- CERN: S. Kaplun, L.H.Nielsen
- NKUA: N. Manola, L. Stamatogiannakis, M. Triantafillidi, M. Vayanou,
- SURF: Maurice Vanderfeesten
- UNIBI: W. Horstmann, N. Jahn, M. Imialek, M. Loesch

### Authors and Contributors to PlosOpenR

N.Jahn (UNIBI), M.Fenner (PLOS-ALM), H. Dimitropoulos (NKUA)